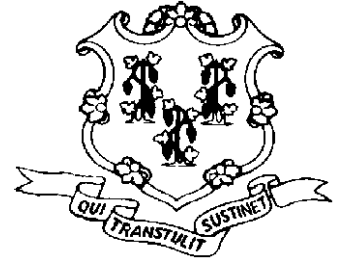




STATE OF CONNECTICUT
Department of Developmental Services



M.I.R.
Management Information Report
December 2010

Issued

April 26, 2011

by DDS Waiver Policy and Planning

DDS Management Information Report

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SECTION I: Services and Supports

A. Where People Live and How They Are Supported

STATEWIDE

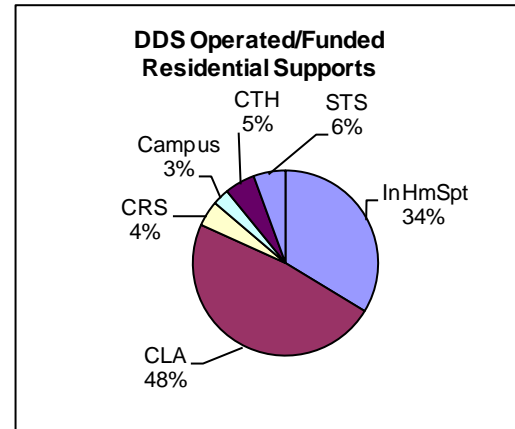
Total Clients: 15,502 **ΔYTD: -7**

(includes all active DDS clients)

Total Served: 20,534 **ΔYTD: -227**

(Includes active clients plus 5,032 non-DDS clients in Birth to Three programs)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support					
At Home	N/A	DDS	Private/ Other	Self* Direct	Total	% Total
Family Home	6,491		487	815	7,793	50.3%
Own Home (IL)**	295	280	893	179	1647	10.6%
Sub-Total	6,786	280	1,380	994	9,440	60.9%
% Total	71.9%	3.0%	14.6%	10.5%	100%	
DDS Operated/Funded						
STS		440			440	2.8%
DDS Centers		228			228	1.5%
CLA		410	3,378		3,788	24.4%
CRS			352		352	2.3%
CTH			417		417	2.7%
Sub-Total		1,078	4,147		5,225	33.7%
% Total		20.6%	79.4%		100%	
Other State Agencies						
DMHAS			3		3	0.0%
DOC			8		8	0.1%
DCFCOT			75		75	0.5%
Sub-Total			86		86	0.6%
Other						
LTC/SNF/RCH (HA)			430		430	2.8%
Res. Schools			141		141	0.9%
Other			106		106	0.7%
Sub-Total			677		677	4.4%
Blank			74		74	0.5%
Grand Total	6,786	1,358	6,364	994	15,502	100.0%

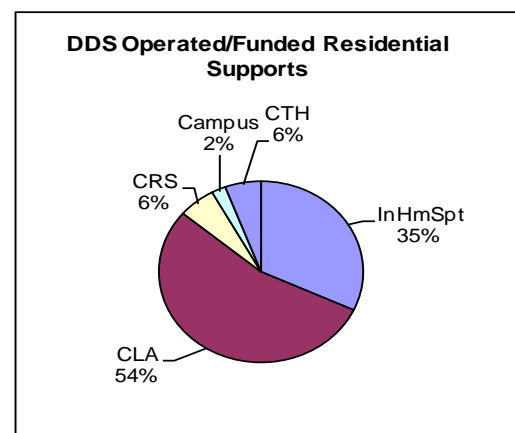


NORTH REGION

Total Clients: 5,260 **ΔYTD: -1**

(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support					
At Home	N/A	DDS	Private/ Other	Self* Direct	Total	% Total
Family Home	2,306		190	228	2,724	51.8%
Own Home (IL)**	120	104	268	33	525	10.0%
Sub-Total	2,426	104	458	261	3,249	61.8%
% Total	74.7%	3.2%	14.1%	8.0%	100.0%	
DDS Operated/Funded						
DDS Centers		58			58	1.1%
CLA		219	1,178		1,397	26.6%
CRS			152		152	2.9%
CTH			147		147	2.8%
Sub-Total		277	1,477	0	1,754	33.3%
% Total		15.8%	84.2%	0.0%	100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			7		7	0.1%
DCFCOT			26		26	0.5%
Sub-Total			34	0	34	0.6%
Other						
LTC/SNF/RCH (HA)			113		113	2.1%
Res. Schools			42		42	0.8%
Other			44		44	0.8%
Subtotal			199		199	3.8%
Blank			24		24	0.5%
Grand Total	2,426	381	2,192	261	5,260	100.0%



*People who direct their own services

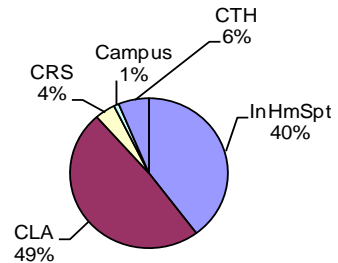
**Own Home includes individuals with services previously known as supported living

SOUTH REGION

Total Clients: 4,852 **ΔYTD: +12**
(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support					
At Home	N/A	DDS	Private/ Other	Self* Direct	Total	% Total
Family Home	1,994		140	278	2,412	49.7%
Own Home (IL)**	90	97	393	88	668	13.8%
Sub-Total	2,084	97	533	366	3,080	63.5%
% Total	67.7%	3.1%	17.3%	11.9%	100.0%	
DDS Operated/Funded						
DDS Centers		26			26	0.5%
CLA		177	1,044		1,221	25.2%
CRS			105		105	2.2%
CTH			157		157	3.2%
Sub-Total		203	1,306		1,509	31.1%
% Total		13.5%	86.5%		100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			1		1	0.0%
DCFCTO			32		32	0.7%
Sub-Total			34		34	0.7%
Other						
LTC/SNF/RCH (HA)			159		159	3.3%
Res. Schools			34		34	0.7%
Other			29		29	0.6%
Sub-total			222		222	4.6%
Blank			7		7	0.1%
Grand Total	2,084	300	2,102	366	4,852	100.0%

DDS Operated/Funded Residential Supports

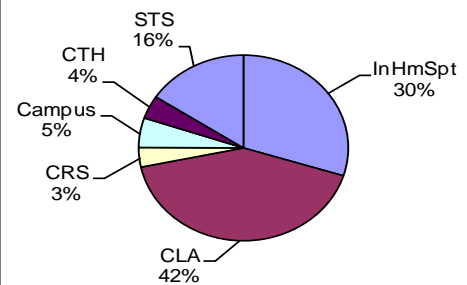


WEST REGION

Total Clients: 5,390 **ΔYTD: -4**
(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support					
At Home	N/A	DDS	Private/ Other	Self* Direct	Total	% Total
Family Home	2,191		157	309	2,657	49.3%
Own Home (IL)**	85	79	232	58	454	8.4%
Sub-Total	2,276	79	389	367	3,111	57.7%
% Total	73.2%	2.5%	12.5%	11.8%	100%	
DDS Operated/Funded						
STS		440			440	8.2%
DDS Centers		144			144	2.7%
CLA		14	1,156		1,170	21.7%
CRS			95		95	1.8%
CTH			113		113	2.1%
Sub-Total		598	1,364		1,962	36.4%
% Total		30.5%	69.5%		100%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			0		0	0.0%
DCFCTO			17		17	0.3%
Sub-Total			18		18	0.3%
Other						
LTC/SNF/RCH (HA)			158		158	2.9%
Res. Schools			65		65	1.2%
Other			33		33	0.6%
Sub-total			256		256	4.7%
Blank			43		43	0.8%
Grand Total	2,276	677	2,070	367	5,390	100.0%

DDS Operated/Funded Residential Supports



*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SECTION I: Services and Supports

B. Work and Day Services

STATEWIDE

DAY/WORK STATUS						
Kind of Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		790	5	149	944	6.1%
Group Supp. Emp.		3,238	110		3,348	21.6%
Sheltered Emp.		524	25		549	3.5%
Day Supp. Opt.		3,784	356	89	4,229	27.3%
Individ. Day Supp.		464	0	5	469	3.0%
Comp. Employment	376				376	2.4%
Other		61	2		63	0.4%
Sub-Total	376	8,861	498	243	9,978	64.4%
% Total	3.8%	88.8%	5.0%	2.4%	100.0%	
Educational and Developmental Services						
LEA		3,660			3,660	23.6%
Res School		29			29	0.2%
Birth to Three		18	6		24	0.2%
Other		83	40		123	0.8%
Sub-Total		3,790	46		3,836	24.7%
Other						
No Day Program	1,145				1,145	7.4%
Blanks	543				543	3.5%
Sub-Total	1,688				1,688	10.9%
Grand Total	2,064	12,651	544	243	15,502	100.0%

SOUTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		343		72	415	8.6%
Group Supp. Emp.		1,090	15		1,105	22.8%
Sheltered Emp.		102			102	2.1%
Day Supp. Opt.		1,233	3	27	1,263	26.0%
Individ. Day Supp.		186	0	1	187	3.9%
Comp. Employment	117				117	2.4%
Other		8	1		9	0.2%
Sub-Total	117	2,962	19	100	3,198	65.9%
% Total	3.7%	92.6%	0.6%	3.1%	100.0%	
Educational and Developmental Services						
LEA		1,143			1,143	23.6%
Res School		9			9	0.2%
Birth to Three			4		4	0.1%
Other		3	15		18	0.4%
Sub-Total		1,155	19		1,174	24.2%
Other						
No Day Program	326				326	6.7%
Blanks	154				154	3.2%
Sub-Total	480				480	9.9%
Grand Total	597	4,117	38	100	4,852	100.0%

NORTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		219	5	21	245	4.7%
Group Supp. Emp.		1,145	21		1,166	22.2%
Sheltered Emp.		241	2		243	4.6%
Day Supp. Opt.		1,196	79	39	1,314	25.0%
Individ. Day Supp.		168	0	1	169	3.2%
Comp. Employment	124				124	2.4%
Other		32	0		32	0.6%
Sub-Total	124	3,001	107	61	3,293	62.6%
% Total	3.8%	91.1%	3.2%	1.9%	100.0%	
Educational and Developmental Services						
LEA		1,343			1,343	25.5%
Res School		7			7	0.1%
Birth to Three		8	0		8	0.2%
Other		71	15		86	1.6%
Sub-Total		1,429	15		1,444	27.5%
Other						
No Day Program	387				387	7.4%
Blanks	136				136	2.6%
Sub-Total	523				523	9.9%
Grand Total	647	4,430	122	61	5,260	100.0%

WEST REGION

DAY/WORK STATUS						
Day Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		228		56	284	5.3%
Group Supp. Emp.		1,003	74		1,077	20.0%
Sheltered Emp.		181	23		204	3.8%
Day Supp. Opt.		1,355	274	23	1,652	30.6%
Individ. Day Supp.		110	0	3	113	2.1%
Comp. Employment	135				135	2.5%
Other		21	1		22	0.4%
Sub-Total	135	2,898	372	82	3,487	64.7%
% Total	3.9%	83.1%	10.7%	2.4%	100.0%	
Educational and Developmental Services						
LEA		1,174			1,174	21.8%
Res School		13			13	0.2%
Birth to Three		10	2		12	0.2%
Other		9	10		19	0.4%
Sub-Total		1,206	12		1,218	22.6%
Other						
No Day Program	432				432	8.0%
Blanks	253				253	4.7%
Sub-Total	685				685	12.7%
Grand Total	820	4,104	384	82	5,390	100.0%

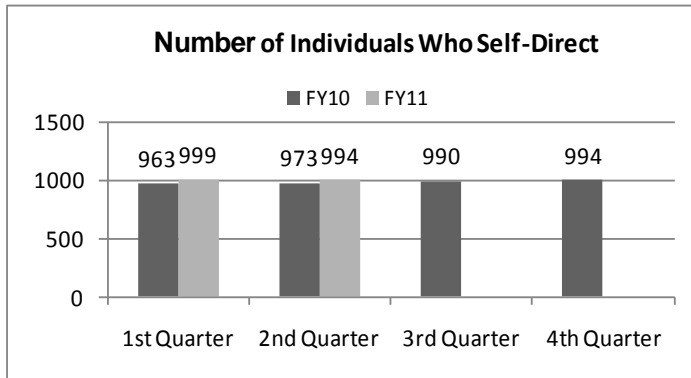
SECTION I: Services and Supports

C. Number of Individuals Who Self-Direct

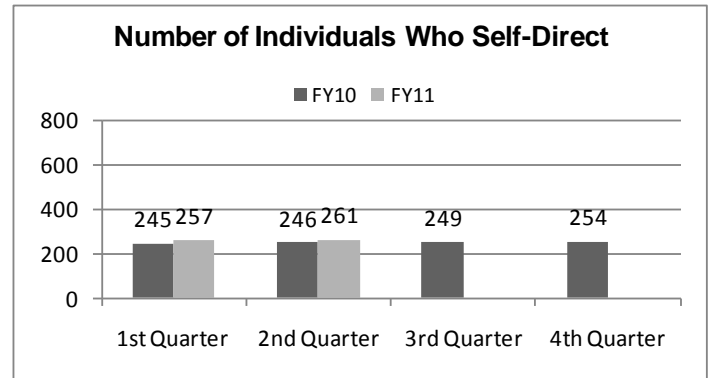
D. Birth to Three Services

E. Case Management Breakdown – Active Consumers

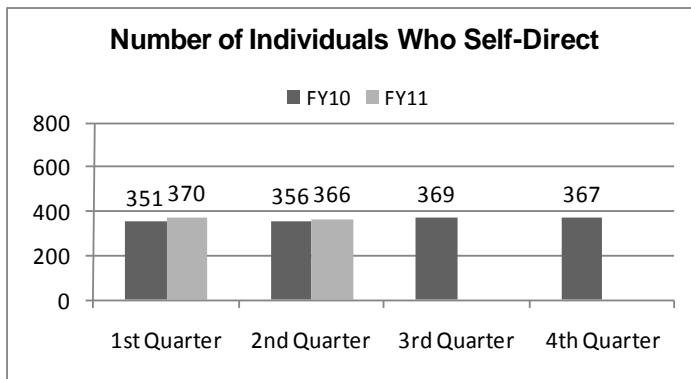
STATEWIDE



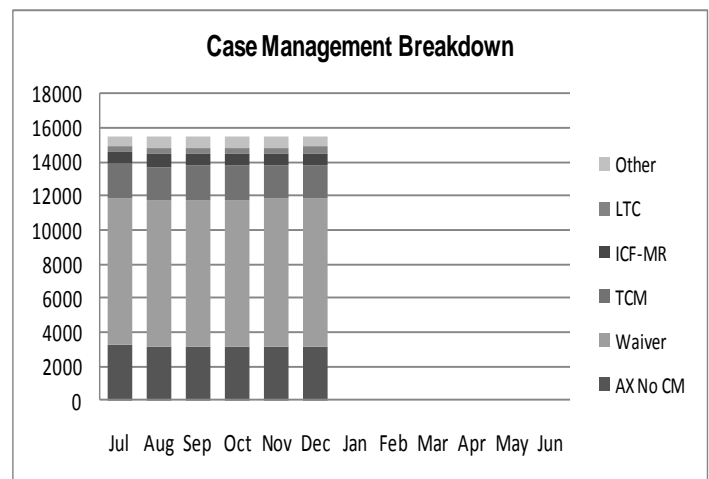
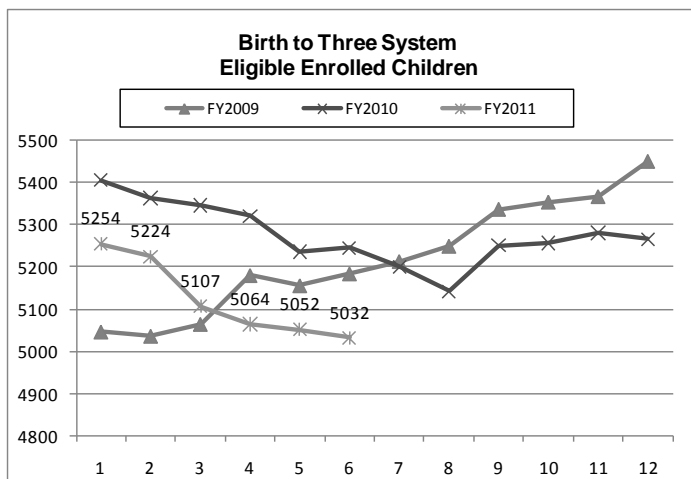
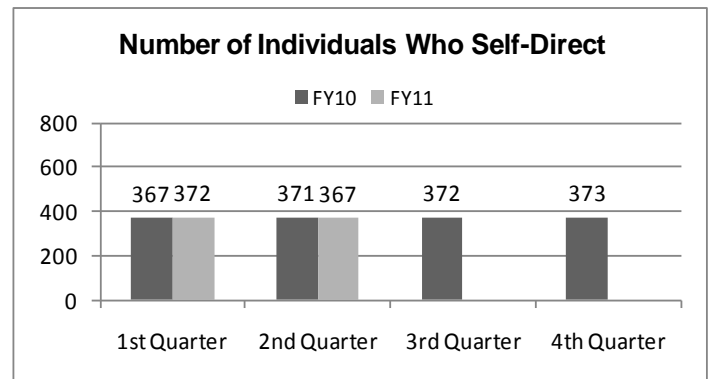
NORTH REGION



SOUTH REGION



WEST REGION



Statewide	AX No CM	Waiver	TCM	ICF-MR	LTC	Other	Total
YTD Diff	-143	-17	34	-7	0	35	-98

SECTION I: Services and Supports**F. Family Support – Direct Support Services****IFS Family Support - Statewide**

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	20	187	40	161	0	0	0	0	58
Enrolled - Adult	25	185	25	204	0	0	0	0	36
Not Enrolled - Child	20	131	18	128	0	0	0	0	32
Enrolled - Child	4	55	0	42	0	0	0	0	4
Total	69	558	83	535	0	0	0	0	130

IFS Family Support - NR

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	7	41	16	19					23
Enrolled - Adult	5	20	9	19					14
Not Enrolled - Child	2	11	5	7					7
Enrolled - Child	0	25	0	24					0
Total	14	97	30	69	0	0	0	0	44

IFS Family Support - SR

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	9	89	5	61					9
Enrolled - Adult	10	90	8	118					10
Not Enrolled - Child	14	59	5	40					14
Enrolled - Child	4	21	0	13					4
Total	37	259	18	232	0	0	0	0	37

IFS Family Support - WR

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	4	57	19	81					26
Enrolled - Adult	10	75	8	67					12
Not Enrolled - Child	4	61	8	81					11
Enrolled - Child	0	9	0	5					0
Total	18	202	35	234	0	0	0	0	49

SECTION I: Services and Supports**G. Respite Utilization**

STATEWIDE									
Respite Utilization Data - FY 2011									
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total	
Unduplicated Number Of Referrals	Under 18	357		Under 18	156	47	0	0	203
	Over 18	813		Over 18	602	76	0	0	678
Unduplicated Number Of People Using Respite Beds	Under 18	304		Under 18	152	44	0	0	196
	Over 18	747		Over 18	547	81	0	0	628

NORTH REGION								
Respite Utilization Data - FY 2011								
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	84		Under 18	41	21		62
	Over 18	197		Over 18	182	29		211
Unduplicated Number Of People Using Respite Beds	Under 18	65		Under 18	37	19		56
	Over 18	178		Over 18	127	36		163

SOUTH REGION								
Respite Utilization Data - FY 2011								
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	130		Under 18	42	26		68
	Over 18	270		Over 18	190	47		237
Unduplicated Number Of People Using Respite Beds	Under 18	98		Under 18	42	25		67
	Over 18	247		Over 18	190	45		235

WEST REGION								
Respite Utilization Data - FY 2010								
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	143		Under 18	73			73
	Over 18	346		Over 18	230			230
Unduplicated Number Of People Using Respite Beds	Under 18	141		Under 18	73			73
	Over 18	322		Over 18	230			230

Section II: Service Needs

A. Residential Waiting List

STATEWIDE	Emerg.	Pri. 1	Total
Home/IL (No Supports)	28	524	552
DYTD	4	17	21
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	3	103	106
CRS	1	15	16
CTH	3	17	20
Indiv Home Supp*	3	143	146
Sub-Total	10	278	288
DYTD	2	63	65
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	1	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	47	48
Other	1	18	19
Sub-Total	2	65	67
Total w/Sup. & Service	12	344	356
Grand Total	40	868	908
Change YTD	7	75	82

NORTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	5	164	169
DYTD	-2	8	6
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	1	18	19
CRS	0	3	3
CTH	1	3	4
Indiv Home Supp*	1	33	34
Sub-Total	3	57	60
DYTD	1	1	2
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	1	1
DCF/CTO	0	0	0
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	15	15
Other	0	6	6
Sub-Total	0	21	21
Total w/Sup. & Service	3	79	82
Grand Total	8	243	251
Change YTD	-2	10	8

SOUTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	12	157	169
DYTD	5	1	6
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	2	14	16
CRS	0	3	3
CTH	1	2	3
Indiv Home Supp*	1	8	9
Sub-Total	4	27	31
DYTD	2	9	11
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	1	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	18	19
Other	1	3	4
Sub-Total	2	21	23
Total w/Sup. & Service	6	49	55
Grand Total	18	206	224
Change YTD	9	7	16

WEST REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	11	203	214
DYTD	1	8	9
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	71	71
CRS	1	9	10
CTH	1	12	13
Indiv Home Supp*	1	102	103
Sub-Total	3	194	197
DYTD	-1	53	52
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	14	14
Other	0	9	9
Sub-Total	0	23	23
Total w/Sup. & Service	3	217	220
Grand Total	14	420	434
Change YTD	4	225	229

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs

B. Residential Planning List

STATEWIDE	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	1039	387	1426
DYTD	-13	-10	-23
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	5	0	5
CTH	1	0	1
Indiv Home Supp*	46	3	49
Sub-Total	52	3	55
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	2	0	2
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	6	4	10
Other	5	1	6
Sub-Total	11	5	16
Total Supports & Service	65	8	73
Grand Total	1104	395	1499
Change YTD	-17	-9	-26

NORTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	384	159	543
DYTD	-20	-5	-25
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	0	0	0
CTH	0	0	0
Indiv Home Supp*	20	2	22
Sub-Total	20	2	22
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	1	4
Other	3	1	4
Sub-Total	6	2	8
Total Supports & Service	26	4	30
Grand Total	410	163	573
Change YTD	-22	-4	-26

SOUTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	428	131	559
DYTD	2	-4	-2
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	3	0	3
CTH	0	0	0
Indiv Home Supp*	7	0	7
Sub-Total	10	0	10
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	2	5
Other	1	0	1
Sub-Total	4	2	6
Total Supports & Service	15	2	17
Grand Total	443	133	576
Change YTD	-2	-3	-5

WEST REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	227	97	324
DYTD	5	-1	4
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CTH	1	0	1
Indiv Home Supp*	19	1	20
Sub-Total	22	1	23
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	0	1	1
Other	1	0	1
Sub-Total	1	1	2
Total Supports & Service	24	2	26
Grand Total	251	99	350
Change YTD	7	-2	5

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs

C. Day Services Waiting List

STATEWIDE			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	1	96	97
Out of Home	0	16	16
Total no supports	1	112	113
DYTD	0	1	1
Transition (Planned Placements)			
June 2010 Grads (Home)	0	55	55
June 2010 Ageouts (DCF, LEA, ISA funded)	0	20	20
Total	0	75	75
DDS Funded/Operated Supports*			
DDS Programs	0	2	2
Private Programs	0	84	84
Total	0	86	86
DYTD	0	-15	-15

NORTH REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	48	48
Out of Home	0	14	14
Total no supports	0	62	62
DYTD	0	5	5
Transition (Planned Placements)			
June 2010 Grads (Home)	0	23	23
June 2010 Ageouts (DCF, LEA, ISA funded)	0	9	9
Total	0	32	32
DDS Funded/Operated Supports*			
DDS Programs	0	0	0
Private Programs	0	33	33
Total	0	33	33
DYTD	0	5	5

SOUTH REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	23	23
Out of Home	0	1	1
Total no supports	0	24	24
DYTD	0	-1	-1
Transition (Planned Placements)			
June 2010 Grads (Home)	0	9	9
June 2010 Ageouts (DCF, LEA, ISA funded)	0	2	2
Total	0	11	11
DDS Funded/Operated Supports*			
DDS Programs	0	0	0
Private Programs	0	1	1
Total	0	1	1
DYTD	0	-1	-1

WEST REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	1	25	26
Out of Home	0	1	1
Total no supports	1	26	27
DYTD	0	-3	-3
Transition (Planned Placements)			
June 2010 Grads (Home)	0	23	23
June 2010 Ageouts (DCF, LEA, ISA funded)	0	9	9
Total	0	32	32
DDS Funded/Operated Supports*			
DDS Programs	0	2	2
Private Programs	0	50	50
Total	0	52	52
DYTD	0	-19	-19

*NOTE: DDS funded/Operated Supports – individuals in this category do not have sufficient funding to exercise portability (purchase a different service/support with their current resources)
 Nineteen additional individuals are listed as June 2009 Grads and another one additional individual is listed as a June 2009 Ageout statewide.

Section II: Service Needs**D. Future Planning Ageouts and High School Graduates****Residential Ageouts**

STATEWIDE	
DCF, LEA or ISA Funded	
FY 2012	102
FY 2013	79
FY 2014	63

NORTH REGION	
DCF, LEA or ISA Funded	
FY 2012	34
FY 2013	33
FY 2014	23

SOUTH REGION	
DCF, LEA or ISA Funded	
FY 2012	28
FY 2013	21
FY 2014	21

WEST REGION	
DCF, LEA or ISA Funded	
FY 2012	40
FY 2013	25
FY 2014	19

Grads and Day Ageouts

STATEWIDE		
	School Graduates	Ageouts
FY 2012	283	82
FY 2013	285	95
FY 2014	86	71

NORTH REGION		
	School Graduates	Ageouts
FY 2012	108	31
FY 2013	113	37
FY 2014	11	22

SOUTH REGION		
	School Graduates	Ageouts
FY 2012	83	21
FY 2013	87	26
FY 2014	8	24

WEST REGION		
	School Graduates	Ageouts
FY 2012	92	30
FY 2013	85	32
FY 2014	67	25

NOTE: Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2014).

Section II: Service Needs

E. Portability

Number Of People Who Initiate The Portability Process										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR	0	24	0	22					0	46
SR	27	36	8	18					35	54
WR	16	14	0	12					16	26
TOT	43	74	8	52	0	0	0	0	51	126
Represents New People In Each Quarter - NOT CUMULATIVE TOTAL										

Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People Who RESOLVE Their Issues And Stay -- DO NOT USE PORTABILITY										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR	0	4	0	1					0	5
SR	5	2	0	2					5	4
WR	0	6	0	2					0	8
TOT	5	12	0	5	0	0	0	0	5	17
Represents New People In Each Quarter - NOT CUMULATIVE TOTAL										

Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People Who DO NOT RESOLVE Their Issues And Move -- USE PORTABILITY										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR	0	1	0	3					0	4
SR	9	23	8	6					17	29
WR	3	3	0	10					3	13
TOT	12	27	8	19	0	0	0	0	20	46
Represents New People In Each Quarter - NOT CUMULATIVE TOTAL										

THIS TABLE IS FILLED IN AUTOMATICALLY BASED ON THE NUMBERS ABOVE Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People For Whom The Issue Is Still PENDING										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR	0	19	0	18	0	0	0	0	0	37
SR	13	11	0	10	0	0	0	0	13	21
WR	13	5	0	0	0	0	0	0	13	5
TOT	26	35	0	28	0	0	0	0	26	63
THIS TABLE IS FILLED IN AUTOMATICALLY BASED ON THE NUMBERS ABOVE										

Section III: New Development Goals and Support Activity

A. Residential Waiting List Activities and Residential Ageouts

FY 11 - First Quarter Report Waiting List Activities Service Activity July 1, 2010 - December 31, 2010	
Residential Waiting List Funding and Service Activity	
North Region	Actual YTD
Opportune	14
South Region	Actual YTD
Opportune	11
West Region	Actual YTD
Opportune	12
Statewide	Actual YTD
Opportune	37

Residential AgeOuts			
North Region	Goal	Actual YTD	Difference
Served with FY11 AO Funds	24	9	-15
Served with Opportune Resources	NA	0	0
Total	24	9	-15
South Region	Goal	Actual YTD	Difference
Served with FY11 AO Funds	18	10	-8
Served with Opportune Resources	NA	0	0
Total	18	10	-8
West Region	Goal	Actual YTD	Difference
Served with FY11 AO Funds	25	6	-19
Served with Opportune Resources	NA	0	0
Total	25	6	-19
Statewide	Goal	Actual YTD	Difference
Served with FY11 AO Funds	67	25	-42
Served with Opportune Resources	NA	0	0
Grand Total	67	25	-42

Seven additional individuals were served with FY 10 funds during this period

Section III: New Development Goals and Support Activity

B. Day AgeOuts and High School Grads

High School Graduates

Service Activity July 1, 2010 - December 31, 2010

High School Graduates Funding			
North Region	Goal	Actual YTD	Difference
June 2010 Grads Served w/FY11 Grad Funds	98	73	-25
Day Waiting List Ind. Served w/FY11 Grad Funds	0	0	0
Total	98	73	-25
South Region	Goal	Actual YTD	Difference
June 2010 Grads Served w/FY11 Grad Funds	85	73	-12
Day Waiting List Ind. Served w/FY11 Grad Funds	0	0	0
Total	85	73	-12
West Region	Goal	Actual YTD	Difference
June 2010 Grads Served w/FY11 Grad Funds	71	60	-11
Day Waiting List Ind. Served w/FY11 Grad Funds	0	0	0
Total	71	60	-11
Statewide	Goal	Actual YTD	Difference
June 2010 Grads Served w/FY11 Grad Funds	254	206	-48
Day Waiting List Ind. Served w/FY11 Grad Funds	0	0	0
Grand Total	254	206	-48

Thirty additional individuals were served with FY 10 funds during this period

Individuals "Aging Out" of DCF and LEA Services

Service Activity July 1, 2010 - December 31, 2010

Day AgeOuts			
North Region	Goal	Actual YTD	Difference
Served with FY11 AO Funds	24	25	1
Served with Opportune Resources	NA	0	0
Total	24	25	1
South Region	Goal	Actual YTD	Difference
Served with FY11 AO Funds	21	18	-3
Served with Opportune Resources	NA	0	0
Total	21	18	-3
West Region	Goal	Actual YTD	Difference
Served with FY11 AO Funds	25	17	-8
Served with Opportune Resources	NA	0	0
Total	25	17	-8
Statewide	Goal	Actual YTD	Difference
Served with FY11 AO Funds	70	60	-10
Served with Opportune Resources	NA	0	0
Grand Total	70	60	-10

Four additional individuals were funded with FY 10 funds.

Section III: New Development Goals and Support Activity

C. Underserved Consumers Receiving Annualized Residential Supports

Annualized Resources For Underserved Consumers			
	Number	Total*	Pct
North Region	12	88	14%
South Region	2	21	10%
West Region	3	209	1%
Statewide	17	318	5%

*Total represents all underserved consumers active on the waiting list during the reporting quarter

Section IV: Eligibility

Eligibility Inquiries And Determination
For Second Quarter FY '11- October 1, 2010 - December 31, 2010

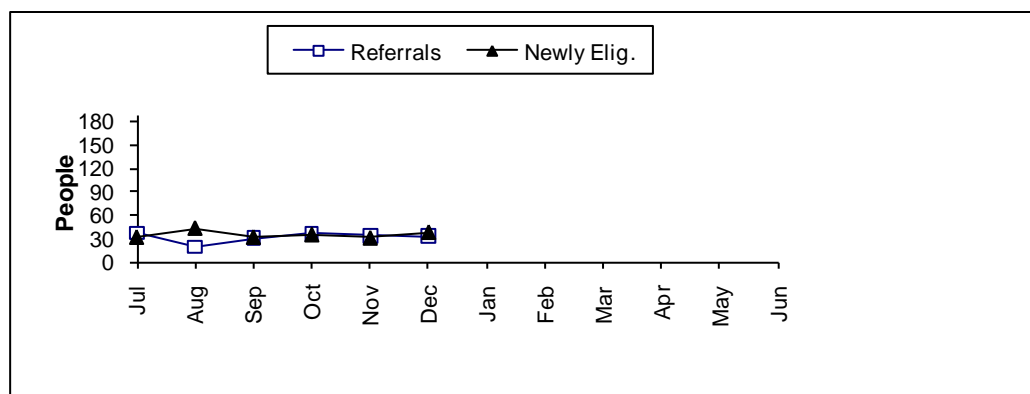
There Were 103 Inquiries To Eligibility Unit

There Were 157 Eligibility Determinations

Note: Only 18 of the 157 determination were from inquiries made during the quarter.

Note: % Denials Twice Normal Due To Over 50 Denials Written In August

Age Range	Total	Eligible	% Eligible	Ineligible	% Ineligible
3-4.9	16	16	15.38%	0	0.00%
5-7	12	11	10.58%	1	1.89%
8-17.9	74	48	46.15%	26	49.06%
18-20.9	29	20	19.23%	9	16.98%
21-29	8	3	2.88%	5	9.43%
30-39	4	2	1.92%	2	3.77%
40-49	6	0	0.00%	6	11.32%
50-59	5	2	1.92%	3	5.66%
60-69	3	2	1.92%	1	1.89%
Totals	157	104	66.24%	53	33.76%

Monthly Referral/Eligibility Activity

Section V: Case Load by Age

A. Residential

DDS CLIENTS BY RESIDENTIAL PROGRAM AND AGE

December 2010 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAM HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	0	0	24	0	0	0	0	24	0%
3-5	0	0	0	0	0	1	0	0	0	0	0	0	0	161	0	1	0	0	163	1%
6-13	0	0	13	0	0	23	0	0	0	13	0	0	0	1,237	0	1	5	3	1,295	8%
14-17	0	0	42	3	4	19	0	0	0	36	1	0	0	981	0	0	14	3	1,103	7%
18-21	1	1	128	23	20	24	2	0	1	58	6	0	3	1,202	0	1	16	5	1,491	10%
22-34	28	29	610	122	73	8	10	0	4	27	291	16	66	2,370	0	5	23	25	3,707	24%
35-44	76	90	570	73	78	0	16	1	1	3	174	42	120	835	2	7	13	11	2,112	14%
45-54	182	155	955	82	114	0	44	3	11	2	179	87	175	618	1	1	10	12	2,631	17%
55-64	219	99	682	33	78	0	90	14	9	2	109	88	117	283	0	1	7	8	1,839	12%
65-74	107	30	267	13	37	0	81	9	14	0	54	32	42	64	0	1	5	3	759	5%
75+	55	6	111	3	13	0	99	6	15	0	15	15	15	18	0	0	3	4	378	2%
TOTAL	668	410	3,378	352	417	75	342	33	55	141	829	280	538	7,793	3	18	96	74	15,502	100%
PERCENT	4%	3%	22%	2%	3%	0%	2%	0%	0%	1%	5%	2%	3%	50%	0%	0%	1%	0%	100%	

Sub-Totals by Age Groups

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAMILY HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	55	3	4	43	0	0	0	49	1	0	0	2,403	0	2	19	6	2,585	17%
Young Adults (Age 18-21)	1	1	128	23	20	24	2	0	1	58	6	0	3	1,202	0	1	16	5	1,491	10%
Adults (Age 22 and Over)	667	409	3,195	326	393	8	340	33	54	34	822	280	535	4,188	3	15	61	63	11,426	74%
Total Percent	668	410	3,378	352	417	75	342	33	55	141	829	280	538	7,793	3	18	96	74	15,502	100%

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit
 DDS CLA (CLA) = DDS Operated Community Living Arrangement
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp Living)
 DDS Lic. CTH (CTH) = DDS licensed community training home
 CTH Not DDS Lic. (CTO) = Non-DDS licensed CTH (DCF foster home, etc.)
 SNF (SNF) = Skilled Nursing Facility*
 ICF (ICF) = Intermediate care facility/general*
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)*

* Long Term Care Facility (Licensed by the Dept. of Health Services)

Priv. Res. Sch. (SCR) = Private Residential School
 Ind. Liv. (IL) = Independent Living
 DDS Home IS = DDS Individual Support in Own Home
 Priv. Home IS = Private Individual Support in Own Home
 Family Home (FAM) = Family Home
 MH Fac. (MH) = Mental Health Facility
 Hos. (HOS) = Hospital Facility
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)
 No Data = No valid Residential Program in CAMRIS for Client

Section V: Case Load by Age

B. Day/Work Services

DDS CLIENTS BY DAY PROGRAM AND AGE

December 2010 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	DSO	SEI	GSE	SHE	IDV	IDN	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	10	5	0	0	0	0	0	9	24	0%
3-5	0	0	0	0	0	0	54	90	0	0	0	0	2	17	163	1%
6-13	0	0	0	0	0	0	36	1,190	3	0	0	7	8	51	1,295	8%
14-17	0	0	0	0	0	0	2	1,051	9	0	0	7	2	32	1,103	7%
18-21	51	14	87	2	9	4	3	1,217	20	1	0	6	15	62	1,491	10%
22-34	1,125	423	1,225	90	133	55	0	112	14	86	5	16	264	159	3,707	24%
35-44	665	196	694	112	32	32	0	7	3	97	10	4	200	60	2,112	14%
45-54	977	193	821	165	38	40	0	0	1	107	12	6	220	51	2,631	17%
55-64	836	88	410	137	12	51	0	3	2	64	6	2	175	53	1,839	12%
65-74	387	27	97	30	4	35	0	0	0	17	0	0	125	37	759	5%
75+	188	5	14	13	1	29	0	0	0	4	2	2	99	21	378	2%
TOTAL	4,229	946	3,348	549	229	246	105	3,675	52	376	35	50	1,110	552	15,502	100%
PERCENT	27%	6%	22%	4%	1%	2%	1%	24%	0%	2%	0%	0%	7%	4%	100%	
Sub-Totals by Age Groups																
Age Group	DSO	SEI	GSE	SHE	IDV	IDN	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	0	0	0	0	102	2,336	12	0	0	14	12	109	2,585	17%
Young Adults (Age 18-21)	51	14	87	2	9	4	3	1,217	20	1	0	6	15	62	1,491	10%
Adults (Age 22 and Over)	4,178	932	3,261	547	220	242	0	122	20	375	35	30	1,083	381	11,426	74%
Total	4,229	946	3,348	549	229	246	105	3,675	52	376	35	50	1,110	552	15,502	100%
Percent	27%	6%	22%	4%	1%	2%	1%	24%	0%	2%	0%	0%	7%	4%		
DSO Day Support Options SEI Supported Employment - Individual Placement GSE Group Supported Employment SHE Sheltered Employment IDV Individualized Day Vocational IDN Individualized Day Non-Vocational LEA Public School SCD Res School EMP Employed EMX Unemployed OTH Other Day Program NP* No Program: Is either on the Waiting List or doesn't need/want a program at this time. Combines former No Day Program - Program Needed, Medical																

Section VI: Home and Community Based Waiver

A. Enrollment

All HCBS Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CTH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	218	994	127	143	1,060	414	4	20	2,980
South	174	927	93	149	1,124	587	3	14	3,071
West	14	920	83	110	1,110	364	5	27	2,633
Dec 10 Total	406	2,841	303	402	3,294	1,365	12	61	8,684
June 2010	448	2,789	269	392	3,287	1,391	11	53	8,640
ΔYTD	-42	52	34	10	7	-26	1	8	44

Comprehensive Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CTH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	217	988	110	123	85	206	0	9	1,738
South	174	924	69	134	72	260	1	9	1,643
West	14	909	72	96	77	149	3	11	1,331
Dec 10 Total	405	2,821	251	353	234	615	4	29	4,712
June 2010	448	2,760	219	356	206	627	4	23	4,643
ΔYTD	-43	61	32	-3	28	-12	0	6	69

Individual and Family Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CTH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	1	6	17	20	975	208	4	11	1,242
South	0	3	24	15	1,052	327	2	5	1,428
West	0	11	11	14	1,033	215	2	16	1,302
Dec 10 Total	1	20	52	49	3,060	750	8	32	3,972
June 2010	0	29	50	36	3,081	764	7	30	3,997
ΔYTD	1	-9	2	13	-21	-14	1	2	-25

Data Source: CAMRIS 12/10

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CTHs are pending transfer to the Comprehensive Waiver.

NOTE 2: "Own Home w/Supports" combines "Supported Living" and "Own Home(IL)" to show waiver enrollees with in-home supports.

NOTE 3: "CRS" (Continuous Residential Supports) is a new Residential Type whose consumers were formerly included as waiver enrollees with in-home supports.

Section VI: Home and Community Based Waiver**B. Federal Revenue**

Actual v. Projected Revenue - FY 2011 Second Quarter					
(Millions of Dollars)					
	SFY 10	SFY 11	SFY 11	SFY 11	
				Difference	% of
	Actual	EOY	YTD Actual	Projected	Revenue
	Revenue	Projected	Revenue	vs Actual	Received
Waiver	\$364.40	\$357.11	\$177.88	\$179.23	49.81%
Public ICF/MR	\$111.10	\$114.07	\$57.82	\$56.25	50.69%
Targeted Case Mgmt.	\$4.62	\$9.88	\$4.13	\$5.75	41.80%
Birth to Three	\$19.39	\$9.65	\$4.99	\$4.66	51.72%
TOTAL Billing	\$499.51	\$490.70	\$244.82	\$245.89	49.89%

MU - Revenues Cross Over Multiple Regions*					
Waiver	\$29.27	\$33.48	\$16.28	\$17.20	48.63%
Birth to Three	\$19.39	\$9.65	\$4.99	\$4.66	51.72%
TOTAL Billing	\$48.66	\$43.13	\$21.27	\$21.86	49.32%
*Excludes Public ICF/MR and Targeted Case Mgmt.					

North*					
Waiver	\$124.84	\$124.87	\$58.72	\$66.16	47.02%
Public ICF/MR	\$7.43	\$7.52	\$3.89	\$3.64	51.67%
Targeted Case Mgmt.	\$1.74	\$3.38	\$1.41	\$1.97	41.74%
TOTAL Billing	\$134.01	\$135.78	\$64.02	\$71.76	47.15%
*Excludes Birth to Three					

South*					
Waiver	\$120.40	\$113.38	\$59.55	\$53.83	52.52%
Public ICF/MR	\$6.18	\$7.20	\$3.08	\$4.12	42.75%
Targeted Case Mgmt.	\$1.61	\$3.17	\$1.49	\$1.68	46.98%
TOTAL Billing	\$128.19	\$123.74	\$64.11	\$59.63	51.81%
*Excludes Birth to Three					

West*					
Waiver	\$89.88	\$85.37	\$43.33	\$42.04	50.76%
Public ICF/MR	\$97.49	\$99.35	\$50.86	\$48.49	51.19%
Targeted Case Mgmt.	\$1.27	\$3.33	\$1.23	\$2.10	36.90%
TOTAL Billing	\$188.65	\$188.05	\$95.42	\$92.63	50.74%
*Excludes Birth to Three					

Section VII: Human Resource**A. DDS funded Position Count**

Permanent Full Time Position Count (General Funds)						
Region	Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	819	740	2	22	1	55
South	736	654	5	28	3	49
West	646	525	3	17	1	101
STS	1262	1113	8	56	1	85
Central Office	132	117	0	0	0	15
Totals	3595	3149	18	123	6	305

Permanent Part Time Position Count (General Funds)						
Region	Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	364	326	6	8	1	24
South	334	298	1	7	2	28
West	240	215	3	8	0	14
STS	220	132	1	10	0	77
Central Office	2	0	0	0	0	2
Totals	1160	971	11	33	3	145

Other General Fund Positions - Filled						
Type	North	South	West	STS	CO	Total
Temporary	0	1	0	0	0	1
General Workers	31	31	2	121	0	185
Substitutes	0	0	1	0	0	1
Retirees	0	0	0	1	1	2
Inst. Fire	0	0	0	8	0	8
Per Diems	21	17	6	4	5	53

Federal Funded Positions - Filled						
	North	South	West	STS	CO	Total
Full Time	0	0	0	0	13	13
Part Time	7	8	1	0	0	16

Section VII: Human Resources

B. DDS Abuse and Neglect Registry

DDS ABUSE AND NEGLECT REGISTRY QUARTERLY REPORT (revised)

October through December - 2010

REGISTRY REFERRALS		
New Referrals this Quarter	14	(14 – Private Sector) (0 – Public Sector)
Referrals as of 9/30/10	573	(566 actual names – 7 individuals have dual referrals)
TOTAL REFERRALS	587	(580 actual names – 7 individuals have dual referrals)

REFERRALS BY SECTOR		
Private sector	462	79%
Public sector	125	21%

	Total to date	Private Sector	Public Sector	YTD Totals
Names on Registry	99 names	71	28	+19
closed - Arbitration & Legal Proceeding	76	17	59	+1
closed - Do not meet Statutory Criteria	315	275	40	+8
Total Completed Cases	490	363	127	+28

HEARING ACTIVITY for the Quarter	
Hearings Held	4
Hearing Waivers Received	0
Pending Hearings Scheduled	4

Abuse/Neglect Registry Inquiries

